JC10 Rec'd PCT/PTO 23 MAR

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ATTTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES MTS-3553US DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. 415 110 28 TO BE ASSISTED 528 **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/000457 22.01.2003 (22 January 2003) 21.01.2004 (21 January 2004) TITLE OF INVENTION DISK APPARATUS, DISK APPARATUS CONTROLLING METHOD, PROGRAM AND RECORDING MEDIUM (AS AMENDED) APPLICANT(S) FOR DO/EO/US **Toru MIYANO** Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. **I** Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made.

Items 11 to 20 below concern document(s) or information included:

An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

11. 🗸 An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. 🗸 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

An English language translation of the annexes of the International Preliminary Examination Report under PCT

13. 🗸 A preliminary amendment.

10. ___

An Application Data Sheet under 37 CFR 1.76.

Article 36 (35,U.S.C. 371(c)(5)).

A substitute specification.

16. L A power of attorney and/or change of address letter.

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: CERTIFICATE OF EXPRESS MAILING and CREDIT CARD PAYMENT FORM

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTC-1390 (Rev. 02-2005)
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPL TO BE ASSIGNED 7 Q 2 PCT/JP2004/0				MTS-3553US	
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21.				\$ 300	
22.				\$ 200	
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				s 400	
TOTAL OF 21, 22 and 23 =				\$ 900	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets		additional 50 or fraction to a whole number)	RATE		
50 - 100 =	/50 =		× \$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	11 - 20 =	0	× \$ 50	\$	
Independent claims	2 -3=	0	× \$200	\$	
MULTIPLE DEPEN	DENT CLAIM(S) (if applicable)		+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 900	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 900	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 900	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40	
TOTAL FEES ENCLOSED =				\$ 940	
				Amount to be refunded:	\$
				Amount to be charged:	\$ 940
a. A check in the amount of \$ to cover the above fees is enclosed.					
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 18-0350. A duplicate copy of this sheet is enclosed.					
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:				IN. Coda	
Customer Number 52473 SIGNATURE					
OR Daniel N. Cald				der	
Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482 NAME					
RatnerPrestia, P.O. Box 1596, Wilmington, DE 19899 27,424					
Date: March 23, 2005 REGISTRATIO				ON NUMBER	

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1...)

Applicant: Toru MIYANO

Application No. Filing Date Examiner Group Art Unit

To Be Assigned Herewith To Be Assigned To Be Assigned

Invention:

DISK APPARATUS, DISK APPARATUS CONTROLLING METHOD,

PROGRAM AND RECORDING MEDIUM (AS AMENDED)

I hereby certify that the following correspondence:

PCT National Stage Application with PTO-1390 and its enclosures

(Identify type of correspondence)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Mail Stop PCT, Commissioner for Patents, Alexandria, VA 22313-1450 on: March 23, 2005

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